

Comment

Predictive coding links perception, action, and learning to emotions
in musicComment on “The quartet theory of human emotions: An integrative
and neurofunctional model” by S. Koelsch et al.L. Gebauer^{a,b,c}, M.L. Kringelbach^{c,d}, P. Vuust^{c,e}^a Department of Psychology, Aarhus University, Denmark^b Interacting Minds Centre, Aarhus University, Denmark^c Music in the Brain, Center of Functionally Integrative Neuroscience, Aarhus University, Denmark^d University of Oxford, United Kingdom^e The Royal Academy of Music, Denmark

Received 15 April 2015; accepted 16 April 2015

Available online 17 April 2015

Communicated by L. Perlovsky

The review by Koelsch and colleagues [1] offers a timely, comprehensive, and anatomically detailed framework for understanding the neural correlates of human emotions. The authors describe emotion in a framework of four affect systems, which are linked to effector systems, and higher order cognitive functions. This is elegantly demonstrated through the example of music; a realm for exploring emotions in a domain, that can be independent of language but still highly relevant for understanding human emotions [2].

Emotion is fundamental to human life, survival and well-being [3] and music is one of the strongest and most universal sources of human emotion and pleasure [4–10]. Music also highlights a particularly interesting aspect of human emotions: the dynamical interplay between perception, action and learning, and emotion. Here, the review is less explicit in describing the mechanism by which the interaction between these systems takes place. Novel models of brain function have emerged such as the predictive coding theories [11–17] proposed to be general theories of brain function [18], explaining how brain areas exchange information. Such models offer a novel perspective on how specialized brain networks can identify and categorize causes of sensory inputs, integrate information within other networks, and adapt to new stimuli. They propose that the quartet of perception, action, learning and emotion occurs in a recursive Bayesian process by which the brain tries to minimize the error between the input and the brain's expectation. Within this framework *Perception* can be described as the process of minimizing prediction errors between higher-level “prediction units” and lower-level “error units” in the hierarchically organized brain; *Action* is the active engagement of the motor system to resample the environment in order to reduce prediction error; *Emotion* acts as weight or modulator of the prediction error itself, guiding behavior, action and learning through neurotransmitters such as dopamine [19]; while *Learning* is the long-term influence on the prediction units [17,18].

DOI of original article: <http://dx.doi.org/10.1016/j.plrev.2015.03.001>.E-mail addresses: line.gebauer@psy.au.dk, gebauer@pet.auh.dk (L. Gebauer).

This idea of prediction as a fundamental principle of human brain function corresponds remarkably well to theories addressing the role of prediction in governing music perception and appreciation [20–24]. Meyer [20] formulated the idea that musical anticipation and incongruity, i.e. elements that do not fit with schematic, veridical or short term memory-based predictions, may be a fundamental source of music emotion and pleasure. Hence, musical emotion and pleasure are driven by the dynamic interplay between the listener's expectations and the statistical regularities in the musical structure. Music is pleasurable when expectations are fulfilled, but probably even more so when they are slightly violated. According to this idea, unanticipated events are responded to with surprise, i.e. increased physiological arousal and optimized attention, but can be modulated by secondary cognitive appraisals of the event. So, the delights we get from unanticipated events in music are due to the contrast between our predictions and the musical structure, as well as the subsequent resolution within the music.

Hence, when listening to music with its basic elements of melody, harmony, and rhythm, the brain applies a predictive model based on prior experience, which guides our perception and emotions. Take the example of a repeated syncopated rhythm, which may make the brain expect the rhythm to be in 4/4. Here, we will experience an error at the syncopated (unexpected) note [25–28]. This may drive an impulse for action in the form of keeping the beat by tapping the foot, which again may lead to emotion, pleasure and learning.

Thus the music's predictive motion between tension and release can form the basis of musical emotion and pleasure, with the predictive coding model explaining how neural systems interact. The brain is constantly trying to minimize the discrepancy between its predictive model (or prior) and the musical input by iteratively updating the prior by weighting it with the likelihood (musical input) through Bayes' theorem. Note that Bayesian inference is assumed to take place at every level of brain processing so that higher levels of processing provide priors for lower levels, thus creating nested and hierarchical links across the entire brain. The predictive coding theory assumes a multilevel cascade of processing at different timescales, in which each level attempts to predict the activity at the level below it via back ward connections. The higher-level predictions act as priors for the lower-level processing (so-called "empirical Bayes"). Importantly, these priors are influenced by previous experience and culture [29], often called hyper-priors [30]. These backward connections. The higher-level predictions act as priors for the lower-level processing. Importantly, these priors are influenced by previous experience and culture [29,30]. They rely on cultural background, personal listening history, musical competence, context (e.g. social environment), brain state (including attentional state and mood), and innate biological factors.

So as the authors rightly points out, emotion does not exist in isolated neural systems but interact with lower- and higher level brain systems for perception, action, and learning. The predictive coding model could be a valuable addition to the 'Quartet theory' by Koelsch et al. for describing the mechanisms of how emotion, action, perception and learning interact at the neural level.

References

- [1] Koelsch S, et al. The quartet theory of human emotions: an integrative and neurofunctional model. *Phys Life Rev* 2015;13:1–27 [in this issue].
- [2] Koelsch S. Brain correlates of music-evoked emotions. *Nat Rev Neurosci* 2014;15:170–80. <http://dx.doi.org/10.1038/nrn3666>.
- [3] Kringelbach ML, Berridge KC. Towards a functional neuroanatomy of pleasure and happiness. *Trends Cogn Sci* 2009;13:479–87.
- [4] Juslin PN, Västfjäll D. Emotional responses to music: the need to consider underlying mechanisms. *Behav Brain Sci* 2008;31:559–75.
- [5] Fritz T, et al. Universal recognition of three basic emotions in music. *Curr Biol* 2009;19:573–6. <http://dx.doi.org/10.1016/j.cub.2009.02.058>.
- [6] Koelsch S. Towards a neural basis of music-evoked emotions. *Trends Cogn Sci* 2010;14:131–7. <http://dx.doi.org/10.1016/j.tics.2010.01.002>.
- [7] Vuust P, Kringelbach M. In: Kringelbach M, editor. *Pleasures of the brain*. Oxford University Press; 2009. p. 77–104.
- [8] Menon V, Levitin DJ. The rewards of music listening: response and physiological connectivity of the mesolimbic system. *NeuroImage* 2005;28:175–84.
- [9] Baumgartner T, Lutz K, Schmidt CF, Jancke L. The emotional power of music: how music enhances the feeling of affective pictures. *Brain Res* 2006;1075:151–64.
- [10] Zatorre RJ, Salimpoor VN. From perception to pleasure: music and its neural substrates. *Proc Natl Acad Sci USA* 2013;110(Suppl 2):10430–7.
- [11] Friston K. A theory of cortical responses. *Philos Trans R Soc Lond B, Biol Sci* 2005;360:815–36.
- [12] Tononi G, Edelman GM. Consciousness and the integration of information in the brain. *Adv Neurol* 1998;77:245–79.
- [13] Schultz W. Predictive reward signal of dopamine neurons. *J Neurophysiol* 1998;80:1–27.
- [14] Bar M. Predictions: a universal principle in the operation of the human brain. *Philos Trans R Soc Lond B* 2009;364:1181–2.
- [15] Rao RP, Ballard DH. Predictive coding in the visual cortex: a functional interpretation of some extra-classical receptive-field effects. *Nat Neurosci* 1999;2:79–87.
- [16] Llinas RR. In: Llinas RR, editor. *I of the vortex*. The MIT Press; 2001. p. 21–52 [Ch. 2].
- [17] Clark A. Whatever next? Predictive brains, situated agents, and the future of cognitive science. *Behav Brain Sci* 2013;36:181–204.

- [18] Friston K. The free-energy principle: a unified brain theory? *Nat Rev Neurosci* 2010;11:127–38. <http://dx.doi.org/10.1038/nrn2787>.
- [19] Gebauer L, Kringelbach ML, Vuust P. Ever-changing cycles of musical pleasure. *Psychomusicology* 2012;22:152–67.
- [20] Meyer LB. *Emotion and meaning in music*. University of Chicago Press; 1956.
- [21] Huron D. *Sweet anticipation*. The MIT Press; 2006.
- [22] Friston K, Friston DA. In: Bader R, editor. *Sound–perception–performance*, vol. 1. Springer International Publishing; 2013. p. 43–69.
- [23] Vuust P, Kringelbach ML. The pleasure of making meaning: evidence from the neuroscience of music. *ISR Interdiscip Sci Rev* 2010;35:166–82.
- [24] Rohrmeier MA, Koelsch S. Predictive information processing in music cognition. A critical review. *Int J Psychophysiol* 2012;83:164–75.
- [25] Witek MA, Clarke EF, Kringelbach ML, Vuust P. Effects of polyphonic context, instrumentation, and metrical location on syncopation in music. *Music Percept* 2014;32(2):201–17.
- [26] Witek MA, Clarke EF, Wallentin M, Kringelbach ML, Vuust P. Syncopation, body-movement and pleasure in groove music. *PLoS ONE* 2014;9:e94446.
- [27] Vuust P, Witek MA. Rhythmic complexity and predictive coding: a novel approach to modeling rhythm and meter perception in music. *Front Psychol* 2014;5:1111. <http://dx.doi.org/10.3389/fpsyg.2014.01111>.
- [28] Vuust P, Gebauer LK, Witek MA. In: *Neurobiology of interval timing*. Springer; 2014. p. 339–56.
- [29] Roepstorff A, Niewohner J, Beck S. Enculturing brains through patterned practices. *Neural Netw* 2010;23:1051–9. <http://dx.doi.org/10.1016/j.neunet.2010.08.002>.
- [30] Friston K. Hierarchical models in the brain. *PLoS Comput Biol* 2008;4:e1000211. <http://dx.doi.org/10.1371/journal.pcbi.1000211>.